LED Industry Webinar on Patent Issues Facing the Lighting Industry

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Outline

- 1. Recent Trends in U.S. Patent Litigation in the LED Industry
- 2. The LED Patent Litigation Landscape: Who Is Suing and Who Is Being Sued
- 3. Update on the Philips/Signify EnabLED Licensing Program (after its loss before the International Trade Commission in July 2019)
- 4. Status of other LED Industry licensing programs including Lighting Science Group (LSG), CAO Lighting, Lynk Labs, TOGGLED/iLumisys, Univ. of California
- 5. Non-Practicing Entity Update: Bench Walk, Heavy Duty Lighting, LedComm
- 6. How Covid-19 has impacted patent litigation

LED Patent Grants in the U.S.



Top LED (Lighting) Patent Assignees in 2019

No.	Assignees	# of Issued Patents	No.	Assignees	# of Issued Patents
1	SIGNIFY HOLDING B.V.	103	17	TRIDONIC GMBH & CO KG	16
2	SEOUL SEMI/SEOUL VIOSYS	63	18	FEIT	15
3	IDEAL INDUSTRIES LIGHTING	49	19	SENSOR ELECTRONIC TECHNOLOGY	15
4	LUMILEDS	40	20	BRIDGELUX	14
5	OSRAM OLED GMBH	37	21	CITIZEN ELECTRONICS CO.	14
6	LUMENS CO., LTD.	28	22	GOODRICH LIGHTING SYSTEMS	14
7	XIAMEN ECO LIGHTING	27	23	SELF ELECTRONICS CO., LTD.	14
8	CREE	25	24	REGENTS OF THE UNIV OF CALIF	14
9	EPISTAR	23	25	LYNK LABS	13
10	OSRAM	23	26	PLAYNITRIDE INC.	13
11	LEDVANCE	22	27	DUK SAN NEOLUX CO., LTD.	12
12	LUTRON	22	28	HANGZHOU HPWINNER OPTO CORP	12
13	KONINKLIJKE PHILIPS N.V.	20	29	TSINGHUA UNIVERSITY	12
14	LED LABS SP. Z O.O.	20	30	ALEDDRA	11
15	ABL IP HOLDING LLC	19	31	LEDVANCE LLC	11
16	CURRENT LIGHTING SOLUTIONS, LLC	17			

SOURCE: Radulescu LLP Patent Litigators

 Update on the Philips/Signify EnabLED Licensing Program
 (after its loss before the International Trade Commission in July 2019)

Signify EnabLED Campaign (2018 to present)

PHILIPS

- 1) Signify v. Howard Industries (filed Feb. 2018)
 - 5 patents
 - Brought in Mississippi
 - Settled and dismissed October 2019
- 2) Signify v. Reggiani Lighting (filed Nov. 2018)
 - 4 patents
 - Brought in New York
 - Settled and dismissed June 2020
- 3) Signify v. Delta Light (filed April 2019)
 - 5 patents
 - Brought in New York
 - Settled and dismissed March 2020

- 4) Signify v. Axis Lighting (filed June 2019)
 - 7 patents
 - Brought in New York
 - Pending
- 5) Signify v. Satco Products, Inc. (filed Oct. 2019)
 - 7 patents
 - Brought in New York
 - Pending
- 6) Signify v. Yeelink (filed Oct. 2019)
 - 5 patents
 - Brought in New York
 - Signify attempted service via Hague Convention
 - Voluntarily dismissed July 2020

Philips' ITC Action: 2017-19

SATCO[®]



Lou	JES

- Filed Sept. 2017
- Asserted 5 Patents (2 Dropped before Hearing)
- Brought against 7 Respondents:
 - Feit
 - Lowe's
 - Satco

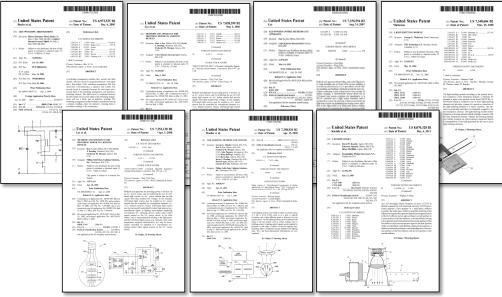
- RAB Lighting (Settled)
- Topaz Lighting (Settled)
- WAC Lighting (Settled)
- MSi Lighting (Default)
- Hearing: August 20, 2018
- Initial Determination: Finding Violation (Dec. 2018)
- Full ITC Reversal: No Violation (July 2019)

Signify files New Suit Against Satco (EDNY)

(s)ignify PHILIPS

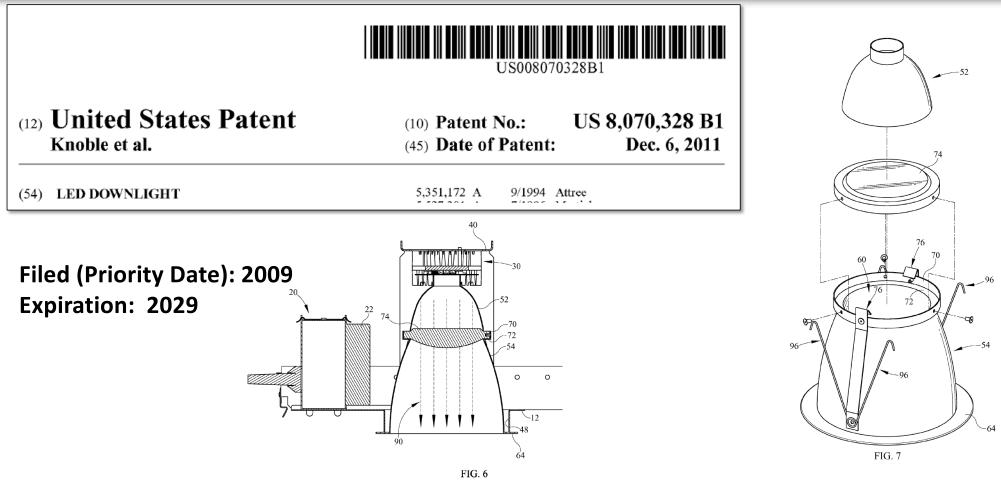






- Filed Oct. 2019
- Brought in the Eastern District of New York
- Asserted 7 Patents (4 old; 3 new)
- Status: Pending

Multi-Piece Reflector Downlight ('328 Patent)

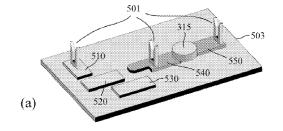


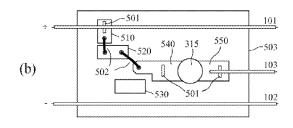
Light-Emitting Module ('604 Patent)

LIGHT-EMITTING MODULE

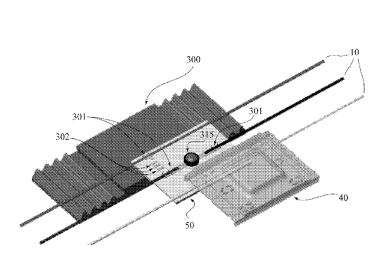
- Inventor: George E. Matheson, North Vancouver (CA)
- Assignee: **TIR Technology LP**, Burnaby, British Columbia (CA)

Related U.S. Application Data Provisional application No. 60/683,244, filed on May 20, 2005.





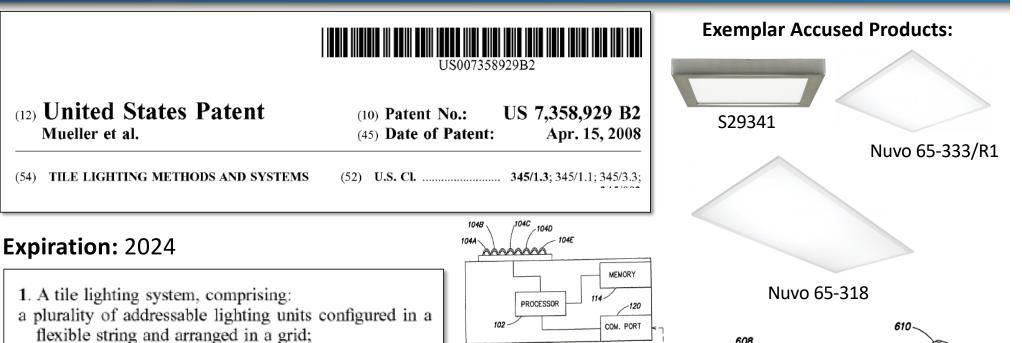
Expiration: 2026



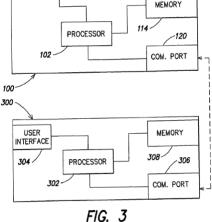
Exemplar Accused Products:

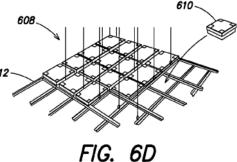


Signify/Philips v. Satco



- a controller for controlling illumination generated by the addressable lighting units; and
- at least one substantially translucent cover disposed over the grid for receiving and diffusing the illumination from the addressable lighting units.





EnabLED 2020 Update: New "Example Technologies"



Human centric lighting

Application: Provide efficient and controllable high quality lighting in tune with circadian rhythms and emotional needs

Signify/Philips: 2020 Recent Events

Switch 1

On

Off On

Off

- Closed on the Cooper Lighting/Eaton Transaction Jan 2020 ullet
- Added certain Cooper patents to the EnabLED program •

	US009820350B2					US007674018B2	
		nited State	es Patent	(10) Patent No.: US 9,820,350 B2 (45) Date of Patent: Nov. 14, 2017	(12) United States Patent Holder et al.	(10) Patent No.: US 7,674,018 B2 (45) Date of Patent: Mar. 9, 2010	
	(71) App (72) Invo	olicant: Cooper Tee Houston, TX entors: Steven Wal GA (US); R Fayetteville, Harpenau,	ter Pyshos, Peachtree Cit aymond Janik, . GA (US); Kevin Ray Atlanta, GA (US) hnologies Company	(2013.01); F2IV 17/12 (2013.01); F2IV 2V088 (2013.01); F2IV 23/001 (2013.01); H05B 33/0845 (2013.01); F2IY 2113/13 (2016.08); F2IY 2115/10 (2016.08) y. (58) Field of Classification Search CPC H05B 37/02; H05B 33/08; H05B 33/0845; H05B 33/0863; F21K 9/62; F21S 8/026; 100	 (54) LED DEXICE FOR WIDE BEAM GENERATION (75) Inventors: Ronald G. Holder, Niguel, CA (US): Greg Rhoads, Irvine, CA (US) (73) Assignee: Illumination Management Solutions Inc. 	4.941,072 A 7/1990 Yasumoto 5,636,057 A * 6/1997 Dick et al	
witch 2	LED 1 3,000K	LED 2 4,000K	Resulting Color Temp				
Off	On	On	3,500K	120 115 110			
On	On	On	3,500K]			
On	On	Off	3,000K]			
Off	Off	On	4,000K]			

4. Status of other LED Industry licensing programs including: Lighting Science Group (LSG), CAO Lighting, Lynk Labs, TOGGLED/iLumisys, University of California

LSG Campaign #2



 10 District Court Suits and ITC complaint filed in May 2019 against:

GHTING SCIENCE



CAO Lighting

Who:

CAO LIGHTING, INC. LED through Innovation™

GE Lighting, LEDVANCE/OSRAM and Feit

- What: 2 patents directed to LED chips & LED bulbs
- Where: Dist. Of Delaware; Central Dist. Of California
- When: May-June 2020
- Status: Litigations Pending

CAO Lighting

-versus-

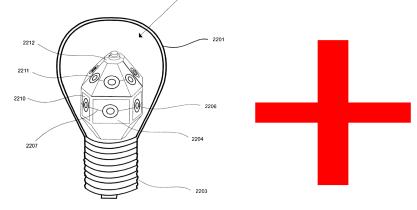


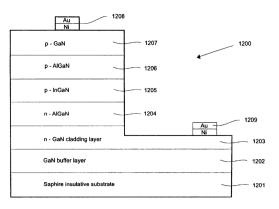




CAO Lighting

I	US006465961B1	US006634770B2		
(12) United States Patent Cao	(10) Patent No.: US 6,465,961 B1 (45) Date of Patent: Oct. 15, 2002	(12) United States Patent Cao	(10) Patent No.: US 6,634,770 B2 (45) Date of Patent: *Oct. 21, 2003	
 (54) SEMICONDUCTOR LIGHT SOURCE USING A HEAT SINK WITH A PLURALITY OF PANELS (75) Inventor: Densen Cao, Sandy, UT (US) 	5,721,430 A * 2/1998 Wond	 (54) LIGHT SOURCE USING SEMICONDUCTOR DEVICES MOUNTED ON A HEAT SINK (76) Inventor: Densen Cao, 2851 E. Durban Rd., Sandy, UT (US) 84109 	5,655,830 A * 8/1997 Ruskouski	





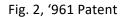


Fig. 3b, '961 Patent

Lynk Labs: Campaign **#1** [2015-17]

Who: Lyn

Lynk Labs -versus-



Acuity Brands; Juno Lighting; and Schneider Electric USA

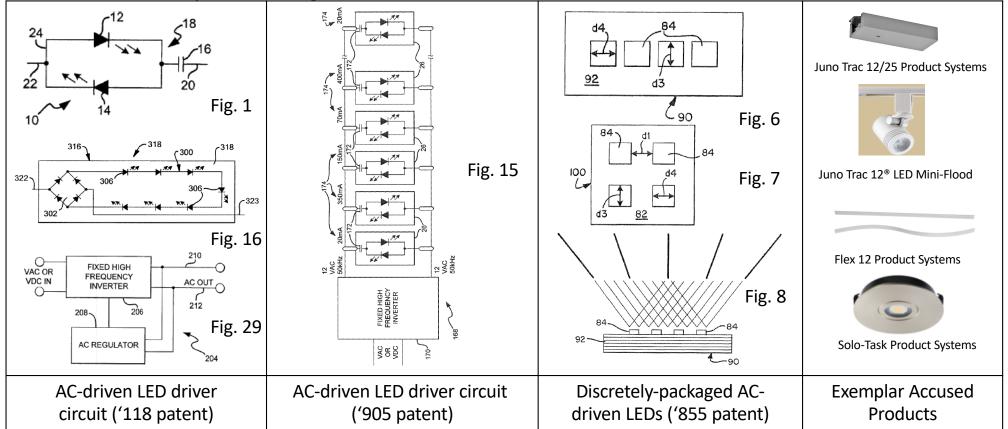
- What: 3 patents directed to AC-driven LEDs
- Where: Northern Dist. Of Illinois
- When: Jun. 2015 Mar. 2017 [187 Docket Entries]
- Status: Settled after IPR Institutions

AcuityBrands.



Lynk Labs: Campaign #1 [2015-17]

• Asserted 3 patents against AC-driven LEDs:



Lynk Labs: Campaign **#1** [2015-17]

- Jun 2016: Acuity filed IPRs against '855 and '118 patents [eve of 1-year deadline for IPRs]
- Dec. 2016: Institution decisions in both IPRs Instituted based on anticipation and obviousness grounds in both IPRs
- Feb/March 2017: Settlement

TOGGLED/iLumisys

- Who: TOGGLED/iLumisys -versus-Philips and Forest Lighting
- What: ~10 patents directed to LED T8/T5 Tubes
- Where: Eastern Dist. Of Michigan; Southern Dist. Of Texas
- When: Dec. 2013– Sept. 2017
- Status: Litigations Settled







lighting optimized™

University of California Filament LED Enforcement Campaign: Two ITC Rounds

UC SANTA BARBARA | Filament LED Lighting Patents



First ITC campaign in July 2019 on "portfolio of patents that cover filament LED light bulbs." [Dropped]



Second ITC campaign launched in Aug 2020

Funded by Longford Capital

5. Non-Practicing Entity Update

Bench Walk: Campaign #2







Who:	Bench Walk Lighting
	-versus-
	Lite-On, LG Innotek, Everlight and Lextar

What: 14 patents directed to LED lighting and LED packaging

- Where: District of Delaware
- When: Jan. 2020 Present

Status: Pending motions to dismiss filed by Lite-On, Lextar, and LG





Heavy Duty Lighting



Who: Heavy Duty Lighting -versus-Kichler Lighting, Acuity Brands

- What:10 patents originally assigned to Sharp, directed to
LED lighting and LED packaging
- Where: Northern Dist. Of Ohio; Northern Dist. Of Georgia
- When: Sept. 2020– Present
- Status: Complaints filed



LedComm

Who:	LedComm LLC -versus- Anker, Lowe's, Sengled and TP-Link
What:	8 patents originally assigned to Sharp, directed to LED chips and packaging
Where:	Central Dist. of Calif, Northern Dist. Of Georgia; Western Dist. Of Texas
When:	Mar. 2020 - Present
Status:	Pending motion to dismiss filed by Anker; answers not filed by Sengled, Lowe's; settlement with TP-Link





Lexington Luminance: Campaign #2

- Six recent suits filed against
 - Lowe's, Feit, 1000 Bulbs, RAB Lighting and others
- One patent directed to LED chip fab employing "inclined lower portions" in substrate of LED chip to guide defects away from active layer

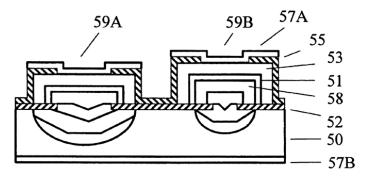


Fig. 5C, '851 patent



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